



**CASTLE POINT**

# Castle Point Funds Annual Report

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31 March 2018





# CASTLE POINT

## Details of Scheme

Scheme Name:	Castle Point Funds
Scheme Type:	Managed Fund
Manager:	Castle Point Funds Management Limited
Supervisor:	Public Trust
Latest PDS:	Castle Point Ranger Fund, 12 September 2017 – Open for applications Castle Point 5 Oceans Fund, 7 July 2017 – Open for applications
Latest Fund Updates:	Castle Point Ranger Fund, quarter ended 30 June 2018 Castle Point 5 Oceans Fund, quarter ended 30 June 2018

Each fund's 31 March 2018 financial statements and auditor's report have been lodged with the Registrar.

## Information on Contributions and Scheme Participants

The number of managed investment products on issue were:

Units on issue	Castle Point Ranger Fund	Castle Point 5 Oceans Fund
31 March 2017	12,567,890	3,513,662
31 March 2018	27,123,086	19,458,166

## Changes Relating to the Scheme

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Updates to scheme documents were as follows:

- On 22 December 2017 the Statement of Investment Policy and Objectives (SIPO) for each Fund was updated – no material changes were made but further detail was added in relation to currency hedging;
- On 12 September 2017 the Product Disclosure Statement (PDS) in respect of the Castle Point Ranger Fund was updated – minor changes were made, and a new application form included; and
- On 7 July 2017 the Product Disclosure Statement (PDS) in respect of the Castle Point 5 Oceans Fund was updated as result of the risk indicator decreasing from “4” to “3”.

There were no changes to the governing documents.

There were no material changes to the nature or scale of related party transactions over the relevant period. We confirm that there were no related party transactions entered into during the accounting period that were not on arm’s-length terms.

## Other Information for Particular Types of Managed Funds

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Unit prices	Castle Point Ranger Fund	Castle Point 5 Oceans Fund
31 March 2017	1.3234	1.0266
31 March 2018	1.6867	1.0661

## Changes to Persons Involved in the Scheme

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There were no changes to the Scheme’s manager, supervisor, administration manager, investment managers, securities registrar, custodian or auditor. The reportable changes during the accounting period are:

- the following changes to the board of Castle Point Funds Management Limited:
  - Richard Patrick Avery-Wright was appointed 16 August 2017
  - Richard Alexander Riddell was appointed 30 August 2017
  - Robert James Banfield ceased 16 August 2017
  - Alan Ross McChesney ceased 30 August 2017
- the following changes to key personnel of the Scheme’s manager:
  - Jenine Briggs was appointed as Head of Operations on 20 November 2017
  - Philippa Styles was appointed as Financial Controller on 1 January 2018

- The Compliance Officer changed from Richard Stubbs to Jenine Briggs on 23 April 2018
  - Bee Lim was appointed as Compliance Manager on 1 May 2018
- the following changes in the Board of Public Trust (the Supervisor) of:
- Huei Min (Lyn) Lim retired on 30 June 2017
  - Ian Fitzgerald was appointed on 22 May 2017
  - Sarah Roberts retired from the Board and as Chair on 31 August 2017. Ian Fitzgerald took over as chair on 1 September 2017.

## How to Find Further Information

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Further information relating to the scheme and the managed investment products is available on:

- the Disclose register - [www.business.govt.nz/disclose](http://www.business.govt.nz/disclose) (including trust deeds, financial statements and the SIPO, PDS and quarterly fund updates for each Fund)
- [www.castlepointfunds.com](http://www.castlepointfunds.com) (including SIPO, PDS and quarterly fund updates for each Fund)

You can obtain a copy of the information on the Disclose register, free of charge, by contacting us at the contact details below.

## Contact Details and Complaints

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You may make enquiries or complaints to the Manager, Supervisor or FSCL:

### Castle Point Funds Management Limited (Manager)

Level 4, General Buildings  
29-33 Shortland Street  
Auckland 1010

Phone: (09) 300 6060

Email: [info@castlepointfunds.com](mailto:info@castlepointfunds.com)

*Please direct queries or complaints to  
Richard Stubbs at the address above*

### MMC Limited (Securities Registrar)

Level 25, QBE Centre  
125 Queen Street  
Auckland 1010

Phone: (09) 309 8926

[www.mmcnz.co.nz](http://www.mmcnz.co.nz)

## Public Trust (Supervisor)

Level 9

34 Shortland Street

Auckland 1010

Phone: 0800 371 471

Email: [cts.enquiry@publictrust.co.nz](mailto:cts.enquiry@publictrust.co.nz)

## Financial Services Complaints Limited (FSCL)

Level 4, 101 Lambton Quay

Wellington 6011

Phone: 0800 347 257

Email: [complaints@fscl.org.nz](mailto:complaints@fscl.org.nz)

*FSCL do not charge a fee to any complainant to investigate or resolve a complaint.*





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[www.castlepointfunds.com](http://www.castlepointfunds.com)